

SHARED ASSESSMENTS CONNECTOR

Periodic vendor self-assessments are necessary throughout the lifespan of the third-party vendor relationship to ensure contract conformance and satisfy regulatory requirements. Manual assessment management, however, is prone to error — especially when dozens, or even hundreds, of third parties are involved. ProcessUnity’s Shared Assessments Connector eliminates these concerns by further streamlining the vendor assessment process with access to best-in-class SIG (Standard Information Gathering) questionnaires.

The Service

Instead of creating your own assessment instruments from scratch, you can take advantage of pre-built, holistic tools from Shared Assessments and augment them with questions tailored to your specific business needs or industry requirements. ProcessUnity’s Shared Assessments Connector enables you to automatically integrate the following SIG content into your third-party risk processes:

- **SIG LITE:** Designed to provide a broad but high-level understanding about a third-party internal information security controls for basic due diligence
- **SIG CORE:** Designed for assessing third-party service providers that store or manage highly sensitive or regulated information, such as consumer data or trade secrets, for more rigorous due diligence

ProcessUnity’s Vendor Risk Management software protects companies and their brands by reducing risks from third-party vendors and suppliers. Our program helps customers effectively and efficiently assess and monitor both new and existing vendors – from initial due diligence and onboarding to termination. Through automation and standardization, ProcessUnity customers reduce busy work, streamline regulatory reporting and improve overall visibility into vendor performance. To learn more about Third-Party Risk Management, go to www.processunity.com/automate.

PREVIEW - SHARED ASSESSMENTS - SIG CORE 2020 ⊞ ⊗

Questionnaire Summary

Questionnaire Instructions
 Please answer all questions and provide all documentation requested.
 If a question cannot be answered or document not provided, please provide a reason why by using the note icon (add comments) to the right of the questionnaire.
 Please note that questions that require an attachment can be attached by clicking on the paper clip icon (add attachments) to the right of the question.
 Remember to save your work frequently.

If you have completed the excel version of the SIG, you may import your responses by selecting "Import SIG" button located at the top of the questionnaire.
 After importing your responses, remember to navigate through the questionnaire to attach the requested supporting documentation.
 Thank you!

Section	Questions Answered / Total	Percent Complete
Business Information	0 / 45	0 %
A. Risk Assessment and Treatment	0 / 6	0 %
B. Security Policy	0 / 1	0 %
C. Organizational Security	0 / 6	0 %
D. Asset and Information Management	0 / 10	0 %
E. Human Resource Security	0 / 2	0 %
F. Physical and Environmental Security	0 / 9	0 %
G. IT Operations Management	0 / 5	0 %
H. Access Control	0 / 12	0 %
I. Application Security	0 / 4	0 %
J. Incident Event and Communications Management	0 / 6	0 %
K. Business Resiliency	0 / 16	0 %
L. Compliance	0 / 22	0 %

Because Shared Assessments questionnaires are widely used across industries, many third parties will have already completed them and can simply upload the files to the ProcessUnity platform with no need for cutting, pasting or rekeying data. This seamless integration not only accelerates your assessment process, but it also reduces vendor fatigue and the compliance burden for your third-party vendors.

The Workflow

The ProcessUnity Shared Assessments connector speeds and simplifies third-party assessments by enabling a seamless automated workflow.

IMPORT: Upload any version of the SIG questionnaire to ProcessUnity with a single click.

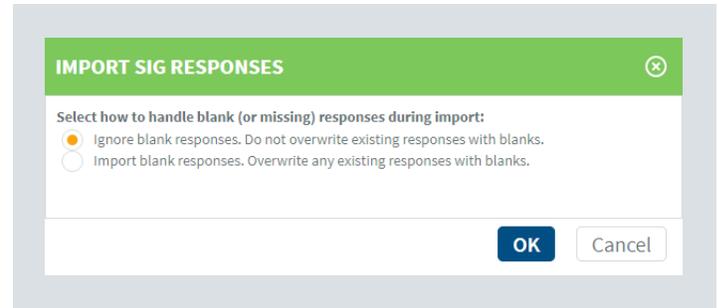
CONFIGURE: Apply scoring rules, assign owners, set schedules, determine residual risk, and create reports.

DISTRIBUTE: With the ProcessUnity platform, you can automatically distribute the SIG-based assessment to third-parties via the Vendor Portal.

COLLECT: Your vendors can respond in one of three ways:

- Upload their completed SIG to the ProcessUnity platform where our software automatically populates the assessment with the vendor responses. Vendors can then review and modify their responses before submitting them for scoring.
- Download the SIG-based assessment in Excel, complete it, and upload the responses to the ProcessUnity platform.
- Complete the SIG-based assessment online via ProcessUnity's intuitive interface.

ASSESS: Based on your specific configuration and unique scoring methodology, ProcessUnity auto-scores the vendor responses and highlights any areas of concern.



The Integration

The Shared Assessments Connector lets you achieve integration quickly — typically in less than eight hours — eliminating lengthy waits for IT resource availability. You'll partner with our Customer Success organization to define the SIG questionnaire(s) you want to pull into the ProcessUnity assessment tool (based on your Shared Assessments SIG subscription). Our experts will build the data import templates, define workflows, and configure the required reports. Once the connector is active, your uploaded SIG content will be automatically mapped to the ProcessUnity assessment tool for assessment and scoring, further streamlining and enhancing your Third-Party Risk Management program.

The Requirements

The Shared Assessments Connector is available for an annual subscription fee. You will also need a subscription to Shared Assessments SIG content (Core or Lite) in order for your vendors to complete SIG-based assessments via the ProcessUnity third-party risk management platform. You can use an existing contract, purchase the content directly from Shared Assessments or it can be purchased through ProcessUnity. Services are also required to help you configure the connector.

Interested?

For more information, visit www.processunity.com/integration or contact us at info@processunity.com.

